

1. Definitions for Section 1:

- “**Fair market value**” means, if a security, the quoted price as of January 1 of the year in which the report is filed, or, if not a security, the price at which the public officer would sell as of January 1 of the year in which the report is filed. *29 Del. C. § 5812(g)*.
- “**Instrument of ownership**” includes, but is not limited to common or preferred stock, rights, warrants, articles of partnership, proprietary interest, deeds, and debt instruments, if convertible to equity instruments. *29 Del. C. § 5812(k)*.
- “**Business Enterprise**” means corporation, partnership, sole proprietorship or any other individual or organization carrying on a business or profession. *29 Del. C. § 5812(a)*.
- “**Position of management**” means officer, director, partner, proprietor, or other managerial position in a business enterprise. *29 Del. C. § 5812(l)*.
- “**Professional organization**” means an individual engaged in, or an association organized pursuant to, federal or State law for the practice of medicine, law, accounting, engineering, or other profession. *29 Del. C. § 5812(m)*.
- “**Constructively controlled**” means:
 - (a) a financial interest in the name of another which is controlled by a public officer by virtue of any relationship of the public officer to another person which directly benefits the public officer;
 - (b) any financial interest of a public officer held jointly with the spouse or child of such public officer;
 - (c) any financial interest of the spouse or minor child of a public officer. *29 Del. C. § 5812 (d)*.
- “**Time or demand deposits**” means checking and savings accounts in banks or deposits or share in savings and loan institutions, credit unions, or money market funds. *29 Del. C. § 5812(p)*.
- “**Debt Instrument**” means bonds, notes, debentures, mortgages, or other securities having a fixed yield if not convertible to equity instruments. *29 Del. C. § 5812(e)*.
- “**Equity instrument**” means any ownership interest in a corporation or other legal entity giving the rights to the holder upon liquidation of the entity. *29 Del. C. § 5812(f)*.

2. Definitions of terms in the remaining sections are in those sections.

**FINANCIAL DISCLOSURE REPORT
(29 Del. C., Chapter 58, Subchapter II)**

NAME: Sam Smith

BUSINESS ADDRESS: 123 Main Street

STATE POSITION: Candidate Rep. #62

Section 1. (See instruction sheet for definitions of underlined terms). Report any legal or equitable ownership in excess of \$5,000 fair market value or from which income of more than \$5,000 was either derived during the preceding calendar year or might reasonably be expected to be derived during the current calendar year, in the following:

(A) Instruments of Ownership: (This includes retirement accounts, 401K, IRA, college funds, mutual funds, stocks, etc. Do **not** include dollar amounts. List name of company, instrument and nature of ownership).

Examples:

Name	Instrument	Nature of Ownership
Voya	401K	Owner
Apple	Stock	Shareholder

(B) Business Enterprise: (This includes corporations, sole proprietorships, and partnerships. List name, type of ownership & any position of management). **Examples:**

Name	Type of Ownership	Management
JW Foods	Partnership	Chief of Finance
XYZ Gadgets	Sole Proprietorship	Owner

(C) Professional Organization: (This includes, but is not limited to, law firms, accounting firms, engineering firms. List the name, address, type of professional practice (do not identify individual clients), & any position of management). **Examples:**

Name	Address	Type of Practice	Management
JZ Engineering	123 Main St. Dover, DE 19901	Engineering	None
Smith Family Practice	456 Elm St. Milford, DE 19963	Medical	Partner

(D) Any of the preceding which are constructively controlled. **Examples:**

Name	Instrument	Nature of Ownership
Timmy Smith	457(b)	Custodian for son
Mildred Smith	401K	Spouse's retirement account

DO NOT LIST: Time or demand deposits (includes **checking or savings accounts**) or a debt instrument (CDs) with a fixed yield unless convertible to an equity instrument.

Section 2. List each creditor to whom you were indebted for 90 or more consecutive days during the preceding calendar year in an aggregate amount in excess of \$1,000. Do **not** list the amount owed.

You are not required to list the reason why you owe money to a creditor. It is shown here to give you an idea of what items to include.

Wells Fargo (home mortgage)

M&T Bank (car loan)

American Education Loans (student loans)

EXAMPLE

I HEREBY CERTIFY that I have read the foregoing report, and that, to the best of my knowledge and belief, it is true, correct, and complete. I further certify that I have not and will not hereafter transfer any assets, interests or property while retaining an equitable interest therein for the purpose of concealing said assets, interests or property from disclosure.

Check
the box.

SUBMIT

EXAMPLE